

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5275110
<b>Application Number:</b>	10001900
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8995
<b>Title of Invention:</b>	Balancing arbitragable tracking securities
<b>First Named Inventor/Applicant Name:</b>	Steven M. Bloom
<b>Customer Number:</b>	26161
<b>Filer:</b>	Denis G. Maloney/Marie Collins
<b>Filer Authorized By:</b>	Denis G. Maloney
<b>Attorney Docket Number:</b>	09857-073001
<b>Receipt Date:</b>	05-MAY-2009
<b>Filing Date:</b>	14-NOV-2001
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1080
RAM confirmation Number	6441
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Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1	Reply Brief Filed	replybrief09857-0073001.pdf	537322 1b2b5ddbef094aeda6aced247531ea6d7b0 4dbed	no	11
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**Warnings:**

**Information:**

2	Request for Oral Hearing	reqoralhearing09857-0073001.pdf	27104 c3c0840ff0da958f2d001e2d279ffe19f062e 1cf	no	1
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**Warnings:**

**Information:**

3	Fee Worksheet (PTO-875)	fee-info.pdf	30101 b1ca9e98034481f6f4acaa9d564967ce936c a4ac	no	2
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**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	594527
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.